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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/797.958

Applicant

BRUSHEY, Stephen

Filed

10 March 2004

Titled Art Unit Anesthesia Conduction Catheter for Delivery of Electrical Stimulus

3763

Examiner
Atty Docket No.

Not Yet Assigned

DB000841-007

# TRANSMITTAL LETTER

Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313

Dear Sir:

Attorney for Applicants respectfully requests entry of the enclosed Supplemental Information Disclosure Statement by Applicant, submitted herewith in the above-captioned application. A return post card is also enclosed.

Respectfully submitted,

Edward L. Pencoske Reg. No. 29,688 Attorney for Applicants

JONES DAY 500 Grant Street Suite 3100

Pittsburgh, PA 15219-2502 (412) 394-9531

(412) 394-7959

Dated: 9 March 2006

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450



Date: 9 March 2006



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/797,958

Applicant : BRUSHEY, Stephen Filed : 10 March 2004

Titled : Anesthesia Conduction Catheter for Delivery of

Electrical Stimulus

Art Unit : 3763

Examiner : Not Yet Assigned
Atty Docket No. : DB000841-007

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is:

Form PTO/SB/08A (1 p.)

☐ Form PTO/SB/08B

Also enclosed for the convenience of the Examiner is a copy of the Partial European Search Report.

The filing of this Supplemental Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is "prior art" to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material

Application No.: 10/797,958 Dated: 9 March 2006

Supplemental Information Disclosure Statement

to patentability as defined in 37 CFR §1.56, or that no other material information exists, and shall not be construed as an admission against applicant(s) interest in any manner.

The Supplemental Information Disclosure Statement submitted herewith is being filed pursuant to:

	37 C.F.R. §1.97(b)(1); no fee is required;					
	OR					
	37 C.F.R. §1.97(b)(2); no fee is required;					
	OR					
×	37 C.I	F.R. §1.97(b)(3); no fee is required;				
	OR					
	37 C.I	F.R. §1.97(b)(4); no fee is required;				
	OR					
	37 C.I	F.R. §1.97(c);				
	AND either					
		The fee set forth is §1.17(p) is attached; OR				
	Applicant certifies pursuant to 37 CFR §1.97(e) that:					
	×	each item of information contained in this Information				
		Disclosure Statement was first cited in a communication				
		from a foreign patent office in a counterpart foreign				
		application not more than three months prior to the filing				
		of this statement; OR				
		no item of information contained in this Information				
		Disclosure Statement was cited in a communication				
		from a foreign patent office in a counterpart foreign				
		application, and, to the knowledge of the person signing				
		this certification after making reasonable inquiry, no				
		item contained in the Information Disclosure Statement				
		was known to any individual designated under 37 CFR				

Application No.: 10/797,958 Dated: 9 March 2006

Supplemental Information Disclosure Statement

§1.56(c) more than three months prior to the filing of this statement; OR

☐ 37 C.F.R. §1.979(d); the fee set forth in §1.17(p) is attached.

AND

- each item of information contained in this Information
  Disclosure Statement was first cited in a communication
  from a foreign patent office in a counterpart foreign
  application not more than three months prior to the filing
  of this statement: OR
- no item of information contained in this Information
  Disclosure Statement was cited in a communication
  from a foreign patent office in a counterpart foreign
  application, and, to the knowledge of the person signing
  this certification after making reasonable inquiry, no
  item contained in the Information Disclosure Statement
  was known to any individual designated under 37 CFR
  §1.56(c) more than three months prior to the filing of
  this Statement.

Application No.: 10/797,958

Dated: 9 March 2006

Supplemental Information Disclosure Statement

Applicants respectfully request that the listed references be considered by the Examiner and that the Examiner acknowledge consideration of these references by initialing and returning a copy of the attached form(s) with the next Official Action.

Respectfully submitted,

Edward L. Pencoske Reg. No. 29,688 Customer No. 57,692 JONES DAY 500 Grant Street Suite 3100 Pittsburgh, PA 15219-2502 (412) 394-9531 (412) 394-7959 (facsimile)

Attorneys for Applicants

Dated: 9 March 2006

Sheet

PTO/SB/08A (07-05) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Complete if Known Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)

Application Number 10/797,958 Filing Date 10 March 2004 First Named Inventor BRUSHEY, Stephen Art Unit 3763 Examiner Name Not Yet Assigned Attorney Docket Number DB000841-007

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (FAncum)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 6,146,380	11/14/2000	Racz et al.	
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Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
	2	EP 1 002 500 A1	05/24/2000			
	3	WO 2001/70322	09/27/2001	Brushey		
	4	WO 2004/103435	12/02/2004	Arrow International, Inc.		L
						L
						_

Considered Signature "EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. "Applicant's unique cattor on designation number (optional). "See Kinds Codes of USPTO Patent Documents at <a href="https://www.sept.oog.com/CMPEP">https://www.sept.oog.com/CMPEP</a> 00104. "Enter Clinic that issued the document, by the wholers code (WPO Standard ST.3.)" for Japanese patent documents, the indication of the year of the reign of the Emperor must precode the serial number of the patent document. "Kind of document by the appropriate symbols as indicated on the document more WPO Standard ST.16 if possible." "Applicant is to place a check mark far fighish hanguage in the patent standard in the comment many of the patent standard in the comment more WPO Standard ST.16 if possible." "Applicant is to place a check mark far fighish hanguage the patent standard in the comment mark of the patent standard in the comment of the patent standard in the patent standard in the comment of the patent standard in the patent stan

Translation is attached

/Laura Bouchelle/

Examiner

This collection of information is required by 37 CFR 1,97 and 1,98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1,14. This collection is estimated to kize 2 hours to complete, including gattering, preparing, and summitting the completed application from the USPTO. There will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

12/07/2008